

**Management Control Evaluation Checklist
Anti-Terrorism/Force Protection**

REGULATION NUMBER:	AR 525-13
DATE OF REGULATION:	27 Feb 00

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Assessable Unit : (Mailing address and phone number)

Function. The function covered by this checklist is the management of unit AT/FP Programs.

Purpose. The purpose of this checklist is to assist assessable commanders in evaluating the key management controls outlined below. It is not intended to cover all controls. Questions raised in this appendix are for checklist purposes only and should not be construed as an independent basis for authority to act in response to any particular question. Any such response must conform and comply with applicable statute and regulation.

Instructions. Answers must be based on the actual testing of controls (e.g., document analysis, direct observation, interviewing, sampling, simulation, other). Answers which indicate control problems must be explained on a material weakness form found on the website <http://www.98asg.wuerzburg.army.mil/drm/mcp/MWFORMAT.doc>. These controls must be evaluated in accordance with the schedule in the Management Control Plan. Certification that this evaluation has been conducted must be accomplished on the enclosed DA Form 11-2-R (Management Control Evaluation Certification Statement).

Supersession. This is the first publication of this checklist.

Comments. Help to make this a better tool for evaluating management controls. Submit comments to: HQDA (DAMO-ODL-FP), ODCSOPS, 400 Army Pentagon, Washington, DC 20310-0400.

Evaluation conducted by: (Name, Grade, Title, Office Branch, Telephone Number)

Date of Evaluation

Evaluation Results: (Document the evaluation results on DA Form 11-2-R, in item 7)

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	<u>RESPONSE</u>			<u>TESTING APPROACH</u>			
	<u>Y E S</u>	<u>N O</u>	<u>N / A</u>	<u>Document Analysis</u>	<u>Direct Observation</u>	<u>Sampling</u>	<u>Simulation</u>
 <p>(Negative answers indicate a management control weakness.)</p>							
(1) Is there a system in place to exercise the AT/FP plan and attack warning systems at least annually?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Do the scenarios involve the staff (that have AT/FP responsibilities)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Is there a feedback mechanism to route after action review results through the AT/FP committee to the commander?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Is OPSEC considered in the planning, conduct, and evaluation of exercises?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Army Standard 6. Risk Management: The Army 5-step risk management process will be integrated into all AT/FP related planning and program execution. Questions:							
(1) Do the commander and staff understand the Army 5-step risk management process?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Is risk management incorporated into all AT/FP planning and program execution by both the commander and staff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Is risk management considered in all elements of the AT/FP program (e.g., physical security, C2P, resource management, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Army Standard 7. Periodic Program Review: Installation AT/FP programs will be reviewed internally annually, and by their MACOM at least once every three years. Questions:							

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 <p>(Negative answers indicate a management control weakness.)</p>							
<p>y. Army Standard 25. Restricted Areas: Areas which are considered critical or sensitive will be identified and formally designated as “Restricted Areas” in order to give commanders legal authority to impose special access controls. Questions:</p> <p>(1) Are restricted areas identified and designated IAW AR 190-13? <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Document Analysis <input type="checkbox"/> Direct Observation <input type="checkbox"/> Sampling <input type="checkbox"/> Simulation</p> <p>(2) Are restricted areas correctly posted? <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Document Analysis <input type="checkbox"/> Direct Observation <input type="checkbox"/> Sampling <input type="checkbox"/> Simulation</p>							
<p>z. Army Standard 26. Random Antiterrorism Measures Program: Commanders will develop a Random Antiterrorism Measures Program (RAMP) for all Army installations and facilities that have the structures, equipment, personnel, and authority to control access. Questions:</p> <p>(1) Does RAMP include a selection of different types of vehicle searches (trunk, undercarriage, total), document inspections, and observable security procedures designed to vary the look of the security program? <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Document Analysis <input type="checkbox"/> Direct Observation <input type="checkbox"/> Sampling <input type="checkbox"/> Simulation</p> <p>(2) Is RAMP thoroughly planned to ensure measures are implemented randomly (not in predictable patterns)? <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Document Analysis <input type="checkbox"/> Direct Observation <input type="checkbox"/> Sampling <input type="checkbox"/> Simulation</p> <p>(3) Does RAMP vary the types of measures along with schedules for employment of measures? <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Document Analysis <input type="checkbox"/> Direct Observation <input type="checkbox"/> Sampling <input type="checkbox"/> Simulation</p>							

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 <p>(Negative answers indicate a management control weakness.)</p>							
dd. Army Standard 30. Law Enforcement Liaison: PM/SO will conduct effective liaison with Federal, state, local, and HN agencies, as appropriate, to ensure law enforcement plans and operations supporting AT/FP are coordinated. Questions:							
(1) Does coordination of security plans include applicable Federal, state, local, and host nation officials outlining deployment movement, security, and jurisdictional responsibilities?							
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Is liaison conducted and are procedures in place to ensure the receipt and dissemination of time-sensitive threat information received from Federal, state, local, HN, USACIDC, and U.S. intelligence agencies?							
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Is this information coordinated through the AT/FP committee process?							
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Are law enforcement operations coordinated with the appropriate Federal, state, local, and host nation agencies?							
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ee. Army Standard 31. Identification and Designation of High Risk Personnel: Commanders will ensure personnel who are at a greater risk than the general population, by virtue of their rank, assignment, symbolic value, vulnerabilities, location, or specific threat, are identified and assessed. Personnel requiring additional security to reduce or eliminate risks will be formally designated as HRP in order to make them eligible for special control/security measures. Questions:							

